

Requirements for the Conduct of a Risk Analysis on the Target of Evaluation (ToE)

A data protection specific risk analysis shall be carried out on the ToE to be certified and the results of this risk analysis shall be documented.

Within the framework of the risk analysis

- all risks to the protection goals referred to in Art. 32(1) GDPR and to other protection goals aimed at protecting data subjects¹ must be identified;
- the risks must be assessed in terms of their likelihood and severity of occurrence; and
- the risks must be compared with the technical and organisational measures implemented to minimise them.

The following protection goals are to be considered within the framework of such a risk analysis:

- Data minimisation;
- Availability;
- Integrity;
- Confidentiality;
- Unlinkability;
- Transparency;
- Intervenability;
- Resilience.

Within the framework of the risk analysis, a protection requirement classification must also be carried out. Here, a distinction must be made between the protection requirements

- normal; and
- high

This protection requirement classification is then later relevant in particular for answering the question of whether appropriate technical and organisational measures have been taken to ensure an appropriate level of protection.

Within the framework of the risk analysis, it must also be checked whether the ToE comprises / results in processing operations for which a data protection impact assessment (DPIA) pursuant to Art. 35 GDPR must be carried out.

Note: The evaluation team of the certification body prepares the risk analysis for the target of evaluation, which is binding for the certification procedure. This team takes

¹ Cf. the following list. With regard to the other protection goals, the EuroPriSe certification scheme is based on the standard data protection model as amended from time to time.

into account the risk analysis provided by the customer as part of the application process, but does not simply continue to use it, instead critically scrutinizing it.